Oracle Financial Services Compliance Regulatory Reporting Applications Pack

Version 8.0.4.0.0

Release Notes



DOCUMENT CONTROL

Version Number	Revision Date	Changes Done
1.0	Created: April 2017	Captured Software environment details, new features, fixed issues, limitations and known issues for 8.0.4.0.0 release.
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Preface

This Preface provides supporting information for the Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Application Pack Release Notes.

This preface includes the following topics:

- Purpose of This Document
- Intended Audience
- Related Documents

Purpose of This Document

This document contains release information for the following products:

- Oracle Financial Services Analytical Applications Infrastructure (OFS AAI)
- Oracle Financial Services Compliance Regulatory Reporting (OFS CRR)

Intended Audience

This document is intended for users of Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Application Pack.

Related Documents

This section identifies additional documents related to Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Application Pack 8.0.4.0.0. You can access Oracle documentation online from the Documentation Library for OFS CRR 8.0.4.0.0 (OTN).

OFSAAI Related Documents

- Oracle Financial Services Advanced Analytical Applications Infrastructure (OFS AAAI)
 Application Pack 8.0.4.0.0 Installation and Configuration Guide
- Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility
 Guide
- Oracle Financial Services Analytical Applications Infrastructure User Guide
- Oracle Financial Services Analytical Applications Infrastructure Administration Guide
- Oracle Financial Services Analytical Applications v8.0.4 Technology Matrix

OFS CRR Related Documents

- Oracle Financial Services Compliance Regulatory Reporting Applications Pack Installation
 Guide
- Oracle Financial Services Compliance Regulatory Reporting Administration Guides

- Oracle Financial Services Compliance Regulatory Reporting Data Model Reference Guide
- Oracle Financial Services Compliance Regulatory Reporting User Guides
- Oracle Financial Services Compliance Regulatory Reporting Web Service Guide

1 Introduction

This chapter covers following topics:

- About Oracle Financial Services Analytical Applications (OFSAA)
- Components of Oracle Financial Services Compliance Regulatory Reporting (OFS CRR)
 Applications Pack

1.1 About Oracle Financial Services Analytical Applications (OFSAA)

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

1.2 Components of Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) Applications Pack

OFS CRR Application assists financial institutions in information gathering, and generation and filing of SAR reports with regulatory bodies as part of an integrated financial crime and compliance management program.

OFS CRR application provides a single, global regulatory reporting approach to address suspicious activity reporting requirements across different countries and jurisdictions. As part of this coverage, the OFS CRR supports the following:

Pre-Defined Mapping of business information and investigation information to the applicable jurisdictionspecific regulatory reporting format.

Different Report Formats for generating a suspicious activity report as mandated by local regulatory bodies. Some examples are PDF, XML, MS Excel, and so on.

Pre-Configured Controls for supporting guidelines and specifications defined by the local regulatory body. This ensures compliance with SAR reporting mandates and ensures error-free report submission to regulators.

OFS Compliance Regulatory Reporting Applications Pack includes the following applications:

- Financial Services Analytical Applications Infrastructure: This application streamlines analysis using a set of tools for data management and security administration and creates a single, consistent, enterprise-wide source of all relevant customer and financial data.
- Financial Services Compliance Regulatory Reporting: This application enables financial
 institutions to meet their regulatory reporting requirements as part of integrated financial crime
 and compliance management approach and helps reduce compliance costs and manage
 potential exposures.

2 Oracle Financial Services Analytical Applications Infrastructure

This chapter includes the following topics:

- New Features
- Fixed Issues
- Limitations

2.1 New Features

The following new features are supported in this release:

Multi-Browser Support

OFSAAI has been enhanced to render on multiple browsers like Google Chrome and Mozilla Firefox in addition to Internet Explorer. The OFSAAI screens are now HTML 5 compliant. See <u>Limitations</u> section to know about the modules that are not HTML 5 compliant.

Model Upload

- For Sliced and Incremental Model Upload modes, an option to perform object registration process as Incremental or Full is introduced.
- Naming Convention of constraints (PK and FK) has been changed to resolve the following issues:
 - For tables with similar names which are relatively long (>27 characters) where
 initial few characters differ (for example,
 STG_COMMON_ACCOUNT_SUMMARY,
 FCT_COMMON_ACCOUNT_SUMMARY), the constraint names get generated
 as same causing an Oracle error of duplicate constraints.
 - When number of foreign keys for a table is more than 100, the length of the constraint name exceeds the permissible limits allowed by Oracle Database.

Update Constraints utility (23251358) is introduced to alter the existing constraint name references according to the new convention. A unique ID gets generated for each data model entities. The new constraint name will be generated by appending the unique ID with 'FK' or 'PK' (along with a sequence for FK). For example PK on DIM_ACCOUNT will be formed as PK_101, where 101 is the unique id of DIM_ACCOUNT. Similarly, FKs on FCT_ACCOUNT_SUMMARY will be FK_206_01, FK_206_02, FK_206_03, FK_206_04, where 206 is the unique id of FCT_ACCOUNT_SUMMARY and the table has 4 FKs.

For more information on this feature, see OFS AAAI Application Pack Installation and Configuration Guide_8.0.4.0.0.

Object Migration Utility

The utility has been enhanced to migrate objects with their dependent objects. For more information on the dependent objects that are migrated with the base object, see Command Line Utility for Object Migration section in the OFSAAI 8.0.4.0.0 User Guide.

Data Management Tools

The DMT Data Mapping JAVA Engine has been enhanced to support Define Once – Execute Anywhere. A metadata that has been originally defined as a T2T, can at run time be executed like a H2H or H2T or T2H. Additional run time parameters EXEC_ENV_SOURCE and EXEC_ENV_TARGET have been introduced which contain information on the Run-Time Source and Run-Time Target. These run time parameters are passed through Operations or RRF module.

Data Quality Framework

- For Data Quality Control Total Check Rule, Group by Join Condition has been introduced as an additional input to define the order in which the LHS and RHS columns should be compared.
- Data Quality Rule can be defined on Derived Entities.

Business Metadata Management

- Hierarchy resave utility (runit.sh) has been enhanced with an additional option to exclude hierarchies from resaving.
- Dataset ANSI Join supports up to 12000 characters.
- Creation of Derived Entity now implicitly creates a Dataset.

System Configuration and Identity Management

- A new command line utility has been introduced to migrate:
 - Users registered in LDAP server to OFSAA
 - Users in LDAP to a user group mapping in OFSAA
 - User Groups in OFSAA to LDAP server.
- User Group Name field has been added in User Group Maintenance window.
- SFTP authentication with Public Key Infrastructure (PKI) has been implemented.

Process Modelling Framework

- Command Line Object Migration utility supports migration of PMF Process (object ID is 8000).
- Copy feature is introduced for Workflow definition.

- Search functionality is introduced in Inbox.
- Feature to revoke active delegations is introduced.
- Ability to Send Email (Consolidated or Individual) for the task or notification is introduced.
- Ability to reassign the task from Inbox is introduced.
- Support for attaching document to the PMF activity has been introduced.

Questionnaire

- Generic Questionnaire framework has been introduced.
- Configure attributes with data from dimension tables, SQL queries or from a set of predefined static values. These attributes are dynamically displayed in Questionnaire.
- Create questionnaire by defining attributes across various components. Three types of Questionnaire are supported- Basic, Decision Tree and Score based.
- Dynamic Answer options can be defined for single-choice and multiple-choice questions.

Forms Framework

- A new column has been introduced in FORMS_APP_FILTER table to validate mandatory parameters in application filter.
- Contextual help is available for KBD controls.
- A configuration has been added for hiding or displaying the backend (top) search across all the hierarchies in Hierarchy Browser.
- Slider control has been introduced with the control type "30".

Forms Manager

- For number control, default decimal point field is introduced.
- FMloglevel flag is introduced in the configuration table based on which logs will be written into the error table.
- Command line object migration utility now supports migration of Page (object ID is 1127).

2.2 Fixed Issues

The following table lists the fixed issues:

Component	Sub Component	Bug No	Subject
		25104795	V_ROLE_CODE LENGTH DIFFERENCE IN CONFIG SCHEMA
		24903708	AUDIT TRAIL REPORT GENERATED IN UNREADABLE FORMAT FOR MULTIPLE GROUP MAPPING
		24748369	SYSTEM RENDERS BLANK PAGE INSTEAD OF ERROR MESSAGE WHEN WRONG CREDENTIALS GIVEN
	Security Management	24658936	LDAP AUTHENTICATION HAPPENS FOR SMS AUTH USER DURING COMMAND LINE MIGRATION
	Wanagement	24513079	USERS ARE UNABLE TO LOGIN 24/7 DUE TO "WORKING HOURS FROM" AND "WORKING HOURS TO
		24439498	UNABLE TO EDIT THE USER DETAILS IF BOTH USER NAMES ARE SAME
Administration		24398693	ADVERSARY USE LOGGED USER SESSION TO PERFORM SENSITIVE ACTIVITIES VIA CSRFATTACK
	Object Migration	23854147	CUSTOM DIMENSION'S MEMBER MIGRATION FAILS WHEN NO DATA IN THEIR ATTRIBUTE TABLE
		23753956	WRONG DQ RULE GETTING EXPORTED IN 7.3.5.1.0 ENV DURING COMMAND LINE MIGRATION
		23336747	MIGRATION OF ALL USERS FAILS WHEN AN UNAUTHORISED USER IS PRESENT
	-	23740980	CONNECTIVITY ISSUE ON CDH W.R.T USE OF SSL PARAMETER IN JDBC URL
		19642447	USER GROUP AUTHORIZATION FUNCTIONALITY NOT WORKING PROPERLY
		17178569	ABILITY TO DISABLE SYSADMIN/SYSAUTH USING THE OFSAAI INTERFACE
Data Entry	Excel Upload	25259128	LOCAL FILE INCLUSION FROM EXCEL UPLOAD THROUGH BURP SUITE
Forms and	-	25048399	AAI 8.0 - DEFI SCREEN FLICKERS IN ADD & EDIT MODE

Queries		24438924	CONFIG SCHEMA UPLOAD IS NOT WORKING
	Data Entry	24317926	AUTO AUTHORIZATION OPTION FOR DEFQ FORMS
	Forms Designer	21800697	MANDATORY FIELDS CHECK IS NOT WORKING FOR SECOND TIME IN FORM WITH AUTORIZATION
		24605104	DUPLICATE ENTRIES ARE APPEARING IN VIEW MAPPING SCREEN
	Business Metadata Management	24431607	VIEW MAPPING SCREEN TAKES LONGER TIME TO LOAD AND CAUSES TIME OUT
	Ŭ	23305370	METADATA CACHE ON START UP TAKES MIN 15 MINS TO COMPLETE
	Technical	25296570	FP- T2T FETCHING COUNT FOR BULK LOAD
	Metadata Management	24969695	DATA MAPPING SCREEN HANGS INTERMITTENTLY
		24610792	DQ RULES ARE NOT GETTING IMPORTED WITH REFERENTIAL INTEGRITY CHECK
Unified Metadata	Data Quality Definition	24398337	IN DQ RULE , REFERENTIAL INTEGRITY CHECK IS FAILING WHILE TRYING TO EDIT
Manager		24392031	RI CHECK IS FAILING WITH ORA-00904 ERROR WHEN ADDITIONAL CONDITION IS USED
	Data Quality Group	25245705	DQ PROCEDURE EXECUTION FAILS IN RI WHEN ADDTIONAL PARAMS ARE PASSED
		25133241	CONTROL TOTAL CHECK, ERROR IN ANSI JOIN CONDITION EXPRESSION WITH ONSOURCE
		24510516	DQ EXECUTION FAILS WITH A WRONG TABLE REFERENCE IN THE RULE COUNT QUERY
	Metadata Browser	23759610	REV_OBJECT_REPOSITORY_TL.OBJECT_DESCRIPTION TO BE INCREASED TO VARCHAR2(4000)
	Forms Manager	24924486	FM ISSUE: DUPLICATE VIEW ID'S ARE CREATING THROUGH FORMS MANAGER.
Forms Framework		24794208	FORMS MANAGER- COPY OF HIERARCHY CONTROL ISSUE
		24471936	ISSUE WHILE GENERATING THE FORM BY TWO USERS SIMULTANEOULSY
		24360518	FORMS MANAGER ISSUE: LAYOUT TAG IS NOT GETTING INSERTED IN XML.
	Forms	24509718	SLIDERS ARE REQUIRED

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	Rendering	24300902	HIERARCHY POPUP ISSUES
	Engine	24295695	DYNAMIC HIERARCHY NODE LIMIT SHOULD BE CONFIGURABLE
		23584013	ROOT NODE SHOULD NOT BE ALLOWED TO SELECT IN HIERARCHY CONTROL
		23532677	IMPROPER ALIGNMENT OF CONTROL IN EDITABLE GRID ON SELECTION IN HTML5
		25068713	POST 8.0.2 UPGRADE ISSUES WHEN HTML5 IS ENABLED
		24741973	MORE HELP TEXT RELATED ISSUES FOUND AFTER APPLYING 21369130 PATCH
		24740040	TO PROVIDE FUNCTIONALITY TO COPY THE HELP TEXT
	Forms: ROR	23638483	NOT ABLE TO ASSIGN VALUE TO A LABEL CONTROL(CONTROL IN VIEW MODE) (TYPE=13)
		23281304	REMOVAL OF SEARCH ON HIERARCHY POP UP WINDOWS
		23097035	ISSUES ON REMOVAL OF MENU FROM BACK END BUT STILL VISIBLE IN UI
		25364054	PROBLEM AGAIN EXPORTING EXCEL FOR ONE ROW
	-	25118208	TRANSPOSING COLUMNS DOESN'T WORK PROPERLY UNDER CUSTOMER AND ACCOUNTS TABS
		25111157	SELECTING ALL ALERTS CAUSES VIEW ALERT DETAILS BUTTON TO DISAPPEAR
		25077285	NUMBER OF CHARACTERS ARE NOT PROPERLY DISPLAYED WITHIN THE TEXT BOX WHEN USER EN
		25074393	NEXT MONTH OR YEAR PAGINATION NOT AVAILABLE IN CALENDAR SELECTION ACROSS ALL THE
		25062856	IN CASE HISTORY, JUMP TO PAGE IS NOT DISPLAYED PROPERLY
		24970787	DEVELOPER MODE ENABLED IN CHROME STANDARDS MODE
		24747139	OPS RISK SEARCH GRID SETTINGS ISSUE
		24741456	TEXTAREA BREAK-WORD STYLE TO BE CHANGED TO JUSTIFIED WITHOUT BREAK-WORD
		24686874	BUSINESS TABS NOT COMING ON ALERT DETAIL SCREEN
		24509700	IN EXCEL EXPORT SPAN HEADER SHOULD BE ALIGNED CENTRALLY
		24311119	FIELD POSTIONS SQUAESH AND NOT CONSITENT IN SIZE

		24301002	TAB BEHAVIOR IS INCONSTANT
		24300813	GRID IS NOT LOADING PROPERLY
		23561855	ALERT MESSAGE FOR EXPORTING RECORDS MORE THAN A SPECIFIED LIMIT
		24841196	IN STANDARDS MODE CALENDAR IS NOT APPEARING FOR CUSTOM JSP WITHOUT MENU
		23582940	DOCUMENT ATTACHMENT GIVES JS ERROR WHILE CLICKING ATTACH BUTTON
		23318591	STYLESHEET IS CHANGED WHEN A FORM IS CALLED FROM A NEW TAB
		23304308	ZERO KBD SHOULD BE SUPPORTED IN APPLICATION
Filter		25076883	TREE GRID NOT COME IN PARENT NODE &ORPHAN BRANCH IN HIERARCHY DATATYPE IN FILTER
GRC Infrastructure		25215255	FLEX KBD: CUSTOM QUERY GENERATED IS NOT PROPER
		22696868	PERFORMANCE IMPROVEMENT FOR OBJECT REGISTRATION PROCESS
		21471381	REORDER OF PK (PRIMARY KEY) COLUMNS THROUGH ERWIN
Model Upload	-	25252319	INCREMENTAL OR FAILING WHEN A WRONG PHYSICAL TABLE UDP IS PRESENT.
·		25183272	HIGH RISK SQL INJECTIONS IN MODEL UPLOAD.
		24482616	MODEL UPLOAD PROCESS DOES NOT CREATE CONSTRAINTS NAME WITH NEW NAMING CONVENTION
		23501057	ENTITY IS GETTING STORED IN ALL CAPS INSTEAD OF CAMEL CASE
Operations	Batch Maintenance	25159866	ICC BATCH TO SYNC UP WITH MIGRATED ALLOCATION SYS ID
Other	-	24941855	OFFLINE COMMANDLINE UTILITY: AAI_MIGRATION_DETAILS IS NOT POPULATED
Rules Framework	Process Execution	23190078	PROCESS PARAMETER LENGTH LIMITATION FOR EXECUTABLE(WITH SHELL SCRIPT)
Install and Upgrade Issues	Migration Scripts	23219570	PORTC UTILITY UPDATE LRM SPECIFIC FILE

	1	
	23730269	QA ASSIGNED :PORTC.JAR UTILITY SHOULD NOT SPECIFY AGENT PORT TO BE CHANGED
Service Packs	23283729	PORTC.JAR UPD SETS APPLOGPATH & DBLOGPATH TO SAME VALUE FOR ALL INFODOMS
-	21110755	IFCONFIG -A COMMAND RETURNS MULTIPLE IPS DURING SCHEMA CREATION
	24840922	UI CHANGE REQUIREMENTS
-	24748156	DISPLAY OF LEVEL 2 MENU IN OFSOR SCREEN IS NOT PROPER
	25055770	INACTIVE DAYS=30 WITH LDAP ENABLED STILL ALLOWS USER TO LOGIN AFTER 30 DAYS
	24701516	LDAP AUHTENTICATION ISSUE WHEN USERS HAVE DIFFERENT DN WITHIN SAME USER BASE
	25389887	CSRF ENABLED PASSWORD CHANGE AFTER LOGIN TO USER IS FAILING
Security	25319842	AUTH STRING FIELD IS ALLOWING SPECIAL CHARACTERS IN COPY- PASTE
	25295613	QUESTIONNAIRE PERSISTENCE-UNIT IS MISSING IN GRCPERSISTENCE.XML
	25215372	NOTHING IS DISPLAYING AUTHORIZATION SCREEN WHEN UNCHECK PROXY
-	25076079	PASSWORD IS NOT CHANGING IF USE SMALL LETTER AS USER NAME
Management - System	24739389	NOT ABLE TO LOGIN TO OFSAA USERS
	24708375	LHS ALSO SHOULD SHOW ROLE MASTER REPORT INSTEAD OF USER ROLE REPORT
	24694323	USER MAINTENANCE SCREEN THE SELECTALL CHECKBOX IS NOT SELECTING ALL THE USER ID
	24672426	NEXT AND LAST BUTTON IS NOT WORKING IN USER MAINTENANCE SCREEN
	24671125	SMS ISSUE IN "PASSWORD MANAGEMENT : EMPTY PASSWORD : SEMANTIC"
	24612897	USER MAINTAINANCE SCREEN - VIEW ICON - ERROR MESSAGE (ALL BROWSERS)
	24612850	ERROR IN POPUP WINDOW- USER GROUP MAP, DOMAIN MAP AND
	Service Packs	Service Packs 23283729 - 21110755 24840922 24748156 25055770 24701516 25389887 25319842 25295613 25215372 25076079 24739389 24708375 24694323 24672426 24672426

			ROLE MAP (IE AND FIREFOX)
		24612489	USER GROUP MAINTENANCE SCREEN - DELETE OPTION -ERROR IN THE POP UP WINDOW
		24605924	FAILMSG NEEDS TO BE DISPLAYED WHEN CREATING A GRP HAIVNG EXISTING GRPNAME AND ID
		24595605	ISSUE WHILE CREATING NEW USER
		24518998	LDAP TO SMS MIGRATION IS FAILING IN AAI 8021
		24459458	INTRODUCING USER ATTRIBUTES IN SMS USER CREATION UI AND ENHANCE THE USER REPORTS
		24339462	SEGMENT CODE/ FOLDER CODE TO ALLOW UNDERSCORE
	Information Domain	18614891	[309] FIV STAGE PATH COULD NOT BE CREATED ON WEB SERVER
		24446212	ANONYMOUS BIND COMING AS 'Y' EVEN IF IT IS NOT SELECTED
	Configuration	23497315	NOT ABLE TO DELETE LDAP SERVER DETAILS FROM LDAP SERVER DETAILS SCREEN
	-	23758304	UNABLE TO LOGIN TO OFSAA AFTER KEEPING THE SCREEN IDLE FOR LONG TIME
System Configuration		10215123	REQUEST TO CERTIFY SFTP AUTHENTICATION WITH PUBLIC KEY INFRASTRUCTURE (PKI)
		25207469	CONFIGURATION SCREEN MANDATING FOR QUESTION AND ANSWERS FOR EACH CHANGE
		24930934	DB DETAILS ENTRY EDITING HAS ISSUE
		24901524	LOGIN SCREEN DISORDER
		24404598	DISPLAY ERROR MESSAGES BASED ON ERROR CODE FOR LDAP LOGIN
		25340245	SAVE AS NEW VERSION WITH PROCESS CODE HAVING '_' IS NOT WORKING
		25267068	DATAFIELDS AND APPLICATION RULES CANNOT BE VIEWED/EDITED
Workflow		25265177	DELEGATION LISTS DELEGATOR AS UNDEFINED
		25201251	ADDING TASK FOR AN ACTIVITY DOES NOT WORK
		25134152	PMF DOES NOT WORK IF HIVE RELATED JAR'S ARE AVAILABLE
		25109328	DELEGATION TASKS ARE NOT OPENING

	24931460	IMPORT GIVES ERROR WHILE IMPORTING API
	24902405	USER SHOULD NOT BE ABLE TO REVOKE INACTIVE DELEGATION
	24701927	DATAFIELDS CANNOT BE VIEWED OR EDITED
	24650246	DELEGATION SAVED FOR A PROCESS WORKS ON ALL
	24659016	APPLICATIONS/PROCESSES
	24602927	DELEGATE USERS ARE NOT LISTED
	24569462	REASSIGN TASK DOESN'T SHOW USER LIST IF ENTITY IS MAPPED TO SOME KBD
	23624049	HISTORY TABLE IS NOT GETTING UPDATED WITH LATEST STATUS VALUE.
	24487373	DATAFIELD VALUE IS NOT GETTING REPLACED IN URL WHEN COMING FROM INBOX
	24480447	EMAIL DIGEST>>ISSUES IN THE MAIL CONTENT
	24308737	NOT ABLE TO ENABLE MAIL AND DISABLE NOTIFICATION
	23540751	E-MAIL FUNCTIONALITY IS NOT WORKING IN PMF.
	23561439	TASK ID IS DIFFERENT IN EMAIL
	23517452	COMMITTEE SETTING UPDATED ONCE IS NOT SAVED ON SAVING
	23516615	DELEGATION SAVED ARE NOT LISTED
	23510690	TASK IS NOT GENERATED IF PMF IS CALLED THROUGH PROCEDURE
	23328330	THE PMF RECORD IS SHOWING RUNNING SYMBOL FOR TWO STATUSES AT SAME TIME.
	23312634	EXECUTING PROCEDURE THROUGH PMF IS NOT WORKING
	23249058	ISSUE WHEN MORE THEN ONE ACTIVITY HAS TO BE COMPLETED BY MULTI CHOICE GATEWAY
	23218993	THERE IS NO OPTION TO REASSIGN TASKS
	23540994	SUBPROCESS IS NOT INITIATED
	23045130	USER TO WHOM A USER'S ACTIVITIES ARE DELEGATED RECEIVES NO TASK OR NOTIFICATION
	21689518	E-MAIL CONFIGURATION AND CONTENT
	21154236	WORKFLOW IS NOT ABLE TO HANDLE PARALLEL TASKS.
	21687346	TASK/NOTIFICATION TO HOLD THE LATEST ENTITY STATUS IN

	ADDITION
21687335	TASK EXPIRATION CONFIGURATION
21687306	ENABLE/DISABLE OPTION FOR TASKS AND NOTIFICATIONS

2.3 Limitations

- Dataset creation hangs if it has huge number of entities selected with ANSI Join.
- In case of Google Chrome and Mozilla Firefox, the base window is not closed after launch of Login page due to the browser security model.
- The following modules will be supported only in IE:
- Metadata Browser
- Data Entry Forms and Queries
- Essbase Cube
- Metadata Archive/Restore
- Email does not get triggered from PMF workflow, in case Webserver is WebSphere and it is HTTPS environment.
- Online help for Questionnaire is not available.
- Sequencing of Questions and sections does not work in Questionnaire module.
- Viewing Business Process Flow diagram uploaded in Post Load Changes displays the download window twice.

3 Oracle Financial Services Compliance Regulatory Reporting

3.1 New Features

This release includes the following:

- Canada Suspicious Transaction Report
- Russian Suspicious Transaction Report

3.1.1 Canada Suspicious Transaction Report

Following are the features of Canada STR:

- Transfers data from Enterprise Case Management (ECM) and Alert Management to CRR: Enables the application to transfer data from ECM and Alert Management applications to CRR application. This reduces duplicate data entry.
- Enables users to update the information in the report wherever required: Enables you to
 update the information that is obtained from ECM and Alert Management applications if
 required.
- Defines workflow: Allows the report to go through the approval process resulting in corrected and verified reports which are submitted to the regulator.
- Submits Final Report: Enables the application to generate final report in the format as required by regulator, which can then be downloaded and submitted to the regulator.
- Managing Acknowledgements: Enables you to handle the information provided by the regulator as an acknowledgement for submitting the final STR report.
- Creating Manual STR: Enables you to create a manual report from CRR application by providing all the information as data does not get transferred from ECM application.

3.1.2 Russian Suspicious Transaction Report

Following are the features of Russian STR:

- Transfers data from ECM and Alert Management to CRR: Enables the application to transfer data from ECM and Alert Management applications to CRR application. This reduces duplicate data entry.
- Enables users to update the information in the report wherever required: Enables you to
 update the information that is obtained from ECM and Alert Management applications if
 required.

- Defines workflow: Allows the report to go through the approval process resulting in corrected and verified reports which are submitted to the regulator.
- Creating Manual STR: Enables you to create a manual report from CRR application by providing all the information as data does not get transferred from ECM application.

4 Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS CRR 8.0.4.0.0 are available at OTN Tech
Stack.

5 Information of third party software tools used

For information on Third Party software tools used in OFS CRR 8.0.4.0.0, refer OFSAA Licensing Information User Manual available in <u>OTN</u>.



Oracle Financial Services Compliance Regulatory Reporting Applications Pack

March 2017 Version number 1.0

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